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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 1500/2 First Inventor Murray L. Finebaum et al. Tide METHOD AND APPARATUS FOR TRADING BONDS Express Mail Label No. ET796674116US

Assistant Commissioner for Patente **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 7. (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) 3. Specification [Total Pages 83 (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D ii. 🔲 paper -Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement - Abstract of the Disclosure 10. Power of Attorney (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) (Total Sheets 35 Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Oath or Declaration (Total Pages 13. Preliminary Amendment Newly executed (original or copy) a. Return Receipt Postcard (MPEP 503) 14. Copy from a prior application (37 CFR 1.63(d)) (Should be specifically itemized) b. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) 15. (if toreign priority is claimed) **DELETION OF INVENTOR(S)** Request and Certification under 35 U.S.C. 122 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). or its equivalent. Other: 17. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examine/ For CCATINULATION OR DEVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently credited from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label or Correspondence address below 27774 (Insert Customer No. or Attach bar code label here) Name Aririnace City Zip Code State Country Telephone Fax Name (Pantiyos) Michael P. Fortkori Registration No. (Attorrey/Agent) 35,141 Sionature 11

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